

APPROVED
by the Sharia Supervisory Board
of "Trust-Bank" JSC

minutes No. 2

*dd. November «30», **2016***

APPROVED
By the Board of Directors
Of "Trust-Bank" JSC

minutes No. 19

*dd. December «29», **2016***

Rules of Internal Control of Access to Insider Information and Its Use.

1. General terms

These Rules comply with Islamic principles.

1. Rules of internal control to access to insider information and its use (hereinafter - Rules) are developed in accordance with the Laws of the Republic of Kazakhstan about "Stock Market" and "Joint Stock Companies", with Rules of insider information disclosure on stock market, approved by Resolution Number 69 of the Board National Bank of the Republic of Kazakhstan from 24.02.2012, with Rules of forming of risk management and internal control system for the second level banks, approved by Resolution Number 29 of the Board of the National Bank of the Republic of Kazakhstan from 26.02.2014.
2. Rules are designed to determine the mandatory for compliance requirements and standards for organization and monitoring by Bank of the order and the use of Bank's insider information and to prevent possible misuse of insider information by insiders.
3. Rules use basic concepts provided by the legislation of the Republic of Kazakhstan (hereinafter - RK), as well as the following definitions, abbreviations and symbols:
 - 1) *insider information* - reliable information on the stocks (financial derivatives), transactions with them, also on the issuer that issued (provided) stocks (derivative financial instruments), its operations, that constitutes a commercial secret, as well as other information, not known to third parties, the disclosure of which could affect the change in value of the stocks (derivatives) and on the activities of the issuer
 - 2) *consolidated list of Bank's insiders* - a general list of insiders based on the data, provided by the responsible departments, and / or individuals and legal entities;
 - 3) *organizer(s) of the stock trade* - Stock Exchange(s) and the Quotation Organizations of Over the Counter Stock Market;
 - 4) *responsible departments* - the structural unit of the Bank, responsible for informing the Compliance Service about the occurrence / termination of the Bank's insiders. These structural departments are units, that are within their functions provide the signing of contracts with insiders of the Bank, are directly involved in the organization of the Bank's corporate events, carried out in the interests of customers transactions in financial instruments, carry out

other activities in which workers of responsible departments may receive information of the occurrence / termination of the Bank's insiders;

- 5) *Derivative financial instruments* - a) Derivative financial instruments - a contract which value depends on the value (including fluctuations in the value) of the underlying asset of the contract, foreseen providing for the implementation of this contract in the future; b) financial derivatives instruments include options, futures, forwards, swaps and other derivative financial instruments that meet attributes, including representing a combination of the above derivative financial instruments; c) underlying assets of derivative financial instruments are products, standardized consignments, stocks, currencies, indexes, profit rates, and other assets that have market value, future events or circumstances, derivative financial instruments.
4. Rules are aimed to regulate the use of insider information of the Bank and include:
 - 1) definition of insider information of the Bank and Bank insiders;
 - 2) determination of the order of Bank insider information usage, the order and timing of the disclosure of Bank insider information, monitoring of the Bank's insiders list, dividing access rights to the insider information of the Bank;
 - 3) development and implementation of activities to control the use of insider and confidential information.
 - 4) rules for the protection of confidentiality of insider information of the Bank.
5. Information, which is insider information of the Bank, shall be determined in accordance with Annex №1 to the Regulations.
6. Insider Information does not include:
 - 1) information prepared on the basis of available public information, including research, forecasts and assessment of the stock value (derivative financial instrument), owner status of the issuer (including the Bank), made in order to make investment decisions and (or) to make recommendations or proposals on the implementation of operations with stocks (derivative financial instruments);
 - 2) information received from the media;
 - 3) unconfirmed information, the source of which is unknown, distributed among a wide circle of people, as well as assumptions regarding the ongoing or planned activities of the Bank.

2. Special Terms

2.1. Insiders of the Bank. The procedure for the formation and maintenance of the consolidated list of Bank insiders.

7. Insiders of the Bank are:
 - 1) members of the Board of Directors;
 - 2) members Board of Executives;
 - 3) Control and Executive Directors of the Bank, not members of the Board of Executives, Chief Accountant of the Bank;
 - 4) managers and employees of Bank departments due to their positions and work duties to insider information of the Bank, also secretaries of collegial working authorities, Referent Secretary of Director;

- 5) persons with access to insider information of the Bank due to ownership, use and (or) managing, directly or indirectly, more than 10 percent of the voting shares of the Bank;
 - 6) the audit firm, the appraiser, professional participants of the Stock Market and other persons providing services to the Bank in accordance with the signed contract (including oral), the terms of which provide disclosure of insider information of the Bank;
 - 7) The organizers of the auction, which include the list of stocks (derivative financial instruments) issued (provided) by the Bank;
 - 8) members of Stock Exchange Board of Directors, Stock Exchange listing commission and the expert committee of the Stock Exchange, which deals with stocks of the Bank in the trading system, established to consider the issues of recognition of stock transactions, committed in order to manipulate;
 - 9) civil servants who have access to insider information of the Bank due to their functions provided under the state control and supervision over the activities of the subjects of Stock Market;
 - 10) public associations and self-regulatory organizations, which have Bank as its member and organizations referred in subparagraphs 5) - 7) of this paragraph of the Rules, due to their powers having access to insider information of the Bank;
 - 11) employees of the organizations referred in subparagraphs 5), 6), 7) and 10) of this paragraph of the Rules, who have access to insider information of the Bank due to official position and job duties;
 - 12) persons who have received the Bank's Insider information from the above persons.
8. The Bank includes in the list of persons having access to insider information of the Bank (hereinafter - the Bank's list of insiders) only the persons specified in subparagraphs 1) - 7) of paragraph 7 of the Rules. List of insiders of the Bank contains the personal data and is confidential document.
 9. Compliance Service conducts a consolidated list of insiders of the Bank (in electronic form and on paper), which is kept up to date and is updated on a monthly basis based on the following data:
 - 1) The subparagraphs 1) and 2) of paragraph 7 of the Regulation - on the basis of data provided by the corporate management division;
 - 2) subparagraphs 3) and 4) (with regard to department heads, Referent secretaries of Heads) of paragraph 7 of the Regulation - on the basis of data provided by the department of Human Resources;
 - 3) paragraph 4) (in respect of employees of departments) of paragraph 7 of the Regulation - on the basis of data provided by the heads of the responsible department;
 - 4) subparagraphs 6), 7), paragraph 7 of the Regulation - on the basis of data provided by the heads of the responsible departments, initiating contracts with insiders of the Bank;
 - 5) subparagraph 5) of paragraph 7 of the Regulation - on the basis of information received by compliance services from shareholder (s) of the Bank.
 10. Responsible departments:

- 1) analyze the legitimacy of the person qualifying as an insider of the Bank, based on the available to the responsible department of information and documents;
 - 2) in case of changes in the list of insiders of the Bank, provide to the Service of compliance information in accordance with Annex №2 to the Regulation, no later than the next working day after the occurrence of reasons for the changes.
11. Policy Compliance:
- 1) exercise control over the granting of the responsible departments of the Bank Service complens information in accordance with Appendix №2 to the Regulation;
12. Compliance service provides a list of Bank's insiders sign by Chief Executive of the Ban or his Deputy:
- 1) to National Ban of the Republic of Kazakhstan (hereinafter – Authority) by its request with certain deadline;
 - 2) “Stock Market of Kazakhstan” JSC (hereinafter KASE) – each quarter based on situation on 1st day of first month, following the report quarter, within 30 calendar days from expiration of report quarter.
 - 3) issuers, for which Bank is an insider (as part of the Bank's employees who have access to inside information of the issuer) - within 5 working days after receiving a written request.

2.2. Limitation for Bank insiders

13. Bank insiders are not allowed.
- 1) use insider information of the Bank in transactions with stocks (derivative financial instruments) issued by the bank or organization in relation to which the Bank is an insider;
 - 2) transfer to third parties or make available to third parties insider information of the Bank or organization in relation to which the Bank is an insider, except for cases stipulated by laws of the Republic of Kazakhstan;
 - 3) provide recommendations to third parties on transactions with stocks based on insider information of the Bank or organization in relation to which the Bank is an insider.
14. After receiving of information on requirements breaches of the Rules of the Bank insiders from the responsible departments, including receiving letters from KASE or from third parties , Bank initiates official investigation, to identify the perpetrators or information leakage sources.
15. As a result of the official investigation, Bank takes the following decisions:
- 1) Issue official release of the actual situation / environment in the Bank;
 - 2) Appeal to the relevant stock exchange, authorities in financial supervision or judicial authorities;
 - 3) Prohibiting future violations of the Rules;
 - 4) Reinforcement the monitoring of compliance with the Regulations;
 - 5) Apply disciplinary punishment to the employees of the Bank stipulated by the labor legislation of the Republic of Kazakhstan;
 - 6) Demand compensation for material damage and / or damage to business reputation, caused by the Bank's activity / inactivity insider of the Bank;

- 7) Transfer the case materials to the law enforcement authorities to bring the perpetrators to criminal liability (if any evidence of a crime);
- 8) Other legitimate arrangements.

2.3. Bank as the insider of other organizations

16. When notice a from third parties for inclusion Bank in the list of insiders organization received, Service Compliance:
 - 1) analyzes the legality of the Bank's qualifications as an insider during consultations with the responsible departments,
 - 2) after the positive conclusion include the information in the list of organizations, with respect to which the Bank is insiders and create list of employees of the Bank who have access to insider information of these organizations. When corresponding request from organization received, Bank provides data lists of Bank employees within 5 working days.
 - 3) notify Bank employees, that they are recognized by insiders organizations, according to the annex to Regulation №3;
 - 4) provides storage of lists of organizations in relation to which Bank an insider and list of Bank's employees, who have access to insider information of these organizations, within 5 years from the date of their creation.
17. Bank as KASE insider within 5 working days provides information to KASE about its employees, who have by virtue of their official position and employment duties access to insider information of KASE. The information is sent by Compliance Service based on data of the responsible departments.
18. Persons included in KASE's insiders list are obliged to notify KASE about all transactions with stocks carried out by them, insider information of which they have access, as well as on their implementation of transactions with the KASE shares on the form set out in the Annex №5 to Regulation.

2.4. The collection, processing and storage of personal data of the Bank's insiders

19. The collection, processing and storage of personal data of insiders of the Bank - individuals:
 - 1) As mentioned in subparagraphs 2), 3), 4) of paragraph 7 of the Rules, employees of Bank, carried out in accordance with Chapter 5 of the Labor Code of the Republic of Kazakhstan and the law of RK "On personal data and their protection";
 - 2) As mentioned in subparagraphs 1) and 5) of paragraph 7 of the Rules, produced in accordance with the legislation of Kazakhstan on keeping a registration of persons connected with the Bank by special relations.
20. Entities as Bank insiders, listed in subparagraphs 5) – 7), 10) of paragraph 7 of Rules inform Bank about workers, who have access to insider information of Bank each quarter no later than 10th day of the first month of report quarter, provide information in accordance with Annex 2 of Rules, otherwise in case of absence of changes for the report quarter, proof the relevance of earlier provided information and ensure, that provided personal data of workers, who have access to the insider

information of Bank due to their position and work duties, gathered and transferred to the Bank in accordance with requirements of paragraph 5 of Labor Code or RK and Law RK about “ personal data and its protection”.

2.5. Procedure of disclosure of Insider Information

21. In case of signing civil-legal agreements that leads to access of the thirds parties to insider and confidential Bank information, liabilities of the thirds parties about Rules compliance should be mentioned. Responsibility for compliance with this paragraph is on responsible department, which initiate the signing of agreement with persons, who have access to the Bank insider information.
22. When a request for insider and confidential information of the Bank receive from third parties, department responsible for sending information negotiate with the Service compliance through internal electronic document. Compliance Service analyzes the legitimacy of the request of insider and confidential information. After the positive conclusion Compliance Service asks from the responsible departments a list of insiders of the organization, which will have access to insider information of the Bank, to compile a list of insiders of the organization and notice on inclusion in the list of insiders of the Bank. Thereafter the responsible department monitors to update the list of insiders of the Bank and in case of changes provides to the Compliance Service within 5 working days.

2.6. The procedure for access to Bank insider information and rules for protection of confidentiality of insider information

23. Access to Bank Insider Information is limited and conducted in accordance with the current legislation of the Republic of Kazakhstan and these Rules.
24. Bank Insiders who have access to insider information and notified about this shall be obliged to familiarize themselves with these Rules posted on the Bank website comply with the requirements of this Regulation and must comply with established Bank confidentiality regime, related to Bank Insider Information and take actions to prevent access to the this information by the third parties.
25. Bank employees have the right to access information related to Insider Information of the Bank, to the extent in which it is necessary to carry out their duties. The basis for granting access is Order of Chairman of the Management Board by the appointmen to work position or any other order, which involves the use of data information in the course of work duties.
Access to Bank insider information is provided to a new Bank employee only after his acquaintance with these rules, job description and the Bank's internal regulations, regulating procedures of handling confidential information and how to work with the Bank's information systems, access to which is provided to the new employee.
Liability for employee familiarization with these documents rests with the head of the employee's department.

26. Bank employees, who have no access to insider information and confidential information of the Bank or organization, related to which Bank is Insider, but who know for some reasons information, related to Insider and confidential information, must:
 - 1) Take steps to maintain the confidentiality of insider information;
 - 2) Eliminate the distribution or provision of such insider information to third parties;
 - 3) Aware Head of the department in which he works and Compliance Service about this fact.
27. In order to ensure the confidentiality of Insider Information, Bank: provides system of divided access to different levels of information system of the Bank, providing allocation of rights between individual users and user groups, as well as control over the users' actions:
 - 1) Creates for persons, who have access to information related to insider information, conditions, necessary for their compliance with the established procedure of usage Information on the territory of the Bank, including those limiting access of unauthorized persons in the Bank's premises, which stores material data carriers relating to the Insider information;
 - 2) According to the legislation of the Republic of Kazakhstan commits actions aimed to bring to justice those who have abused the procedure of using insider information of the Bank, including demands compensation for losses caused to the Bank;
 - 3) Commits other actions aimed to ensure compliance with the order of the use of Bank's insider information.
28. Bank may introduce further special procedures to protect the confidentiality of the Bank's insider information from misuse, to ensure compliance with the order of access to insider information, including:
 - 1) Procedure of interaction of structural departments of the Bank in the preparation, use and storage of Insider Information, as well as destruction of items and material values, containing insider information;
 - 2) Procedures for protection of workplaces, which have access to insider information, and places of storage of material carriers of information, related to Insider information from unhindered access and monitoring;
 - 3) Procedures to protect the Bank's information system, protecting insider information from loss and unauthorized access to it through communication channels.

2.7. Procedure and time of disclosure of insider information

29. Bank Insider Information is disclosed by posting on the website of the Bank and (or) published in periodical publications defined in this Regulation on Kazakh and Russian languages, but not before posting on the Internet – resource of KASE and depository of financial statements.
30. Insider information about Bank's corporate events, stated in paragraph 1 of Annex 1 to these Rules, list of which is defined by legislation of the RK, placed on the official website of depository of financial statement within 5 working days from the

date of its appearance and on the official web site of KASE in order and time, set by internal documents of KASE.

31. Insider information about changes in the Bank's activities that affect the interests of holders of its stocks, referred in paragraph 2 of the Annex 1 to Regulation, placed on the web site of KASE, the procedure and terms established by internal documents of KASE, and on the web site of depository of financial statements and informed to Authorities within 15 calendar days from the date of their occurrence. It publishes in other mass media within 15 calendar days from the date of their appearance, but not before posting on the web site of KASE and depository of financial statements.
32. Other information, recognized by Bank as Insider information, referred to paragraph 3 of Annex №1 to Regulations, posts on the web site of KASE (if its provision required by internal documents of KASE, in the order and terms established by the internal documents) and on the web site of depository of financial statements and (or) is published on the Bank's website. Information can be published in other media only after it was posted on the web site of KASE and depository of financial statements.
33. The list of Medias, used for the disclosure of insider information in accordance with the requirements of the legislation of the Republic of Kazakhstan:
 - 1) Bank's corporate website - www.zamanbank.kz;
 - 2) Web Site of KASE - www.kase.kz;
 - 3) Web Site of depository of financial statements - www.dfo.kz;
 - 4) Periodic printsThe procedure for publishing information is determined by the internal regulations of the Bank.
34. In case of including stock of Bank in the list of stock trade organizers, Bank and the persons included in the list of insiders of the Bank provide disclosure of insider information about the Bank and issued (provided) its stocks (derivative financial instruments), the disclosure of which could affect the change in their value and the Bank's operations, before the start of trading of stocks (derivatives) on the terms and conditions established by the organizers of the trade.
35. During circulation of stocks, included in the list by stock trade organizers, in trading system of Stock Exchange, which works on the territory of foreign countries, Bank Insiders information should be disclosed in one of the following ways:
 - 1) Messages, which implies disclosure of insider information of Bank among individuals, send to the address of all Stock Exchanges at the same time, which include Bank's stocks in their list, afterwards are placed on the corporate web sites of Bank;
 - 2) Messages, which implies disclosure of insider information of the Bank among individuals send to the address of Stock Exchange operating on the territory of the Republic of Kazakhstan, afterwards are forwarded to the Stock Exchanges operating on the territory of foreign countries, which include stocks of the Bank in their list, and placed on Bank's corporate website.
36. In disclosing of Bank insider information by its placement (publication) in several media, set by this Regulation, the date of disclosure of insider information of the

Bank shall be the date of the first placement (publication) of insider information in the media.

3. Final Provisions

37. Rules are posted on the Bank's corporate website in Kazakh and Russian languages.
38. Workers Insiders of the Bank are liable for non-compliance of rules set out in the Regulation.
39. Heads of responsible departments are responsible for provision of full, up to date and reliable information on the list of insiders of the Bank in Compliance Service.
40. Heads of responsible departments are responsible for adding liability for disclosure of insider and confidential information into the job descriptions of employees, if such liability is not provided in the job description.
41. Heads of responsible departments are responsible for monitoring the provision of up to date insider and confidential information to the Bank Compliance Service when sending it to the third parties, and are responsible for the failure to comply with the requirements set out in the Regulation.
42. Heads of responsible departments are responsible for monitoring the provision of list of employees of their departments, who have access to Insider information, in Compliance Service.
43. In the case of non-compliance with the restrictions set by the Regulations, misuse and distribution of insider and confidential information, persons who have committed such breaches, are involved in civil - legal, administrative and criminal liability, provided by the legislation of RK, and also disciplinary sanctions in accordance with the labor legislation of Kazakhstan.
44. Responsibility for non-compliance / improper compliance of the requirements of the Rules lies on heads of departments involved in the processes regulated by the Rules.
45. Monitoring of compliance with the requirements established by the Regulation is the responsibility of heads of responsible departments and Compliance Services.
46. Rules are compulsory for management and all employees of the Bank.
47. Issues not regulated by the Rules, shall be solved in accordance with the legislation of Kazakhstan.

List of Bank's Insider Information.

1. Insider information of the following corporate events include:
 - decisions taken by the General Meeting of Shareholders and the Board of Directors on the list of issues, which information must be informed to the shareholders and investors;
 - issue of shares and other stocks by Bank and approval by the Authority of reports on results of placement and redemption of stocks of the Bank, as well as the cancellation by the Authority Bank's stocks;
 - large transaction or transactions, which are shows profit, committed by Bank;
 - pledge (repledge) the property of Bank for an amount of more than 5% of Bank's assets;
 - receiving a financing by Bank in the amount of more than 25% of Bank's fixed assets;
 - obtain license for any activity, suspension or termination of previously obtained licenses by Bank to carry out any activities;
 - Bank's involvement in any equities;
 - seizure of Bank's assets;
 - circumstances of extraordinary nature, which results destruction of Bank's property, accounting value of which is more than 10 % of total assets of Bank;
 - involvement of Bank and its Officials to administrative prosecution;
 - initiated case in the court on corporate dispute;
 - decision of forced bank reorganization;
 - other events affecting shareholders and investors in accordance with the Bank's Articles of Association, as well as prospect of issue securities by Bank.
2. Insider information about changes in the Bank's activities that affects the interests of holders of its securities, considered:
 - changes in department structure of bank;
 - change in the list of shareholders (participants) owning 10 % or more of voting shares (shares) of the Bank;
 - reorganization or elimination of Bank;
 - seizure of Bank's assets;
 - pledge (repledge) the property of Bank for an amount of more than 10% of Bank's assets;
 - receiving, suspension or revocation of Bank's license;
 - decision of general meeting of Bank's shareholders (participants);
 - changes in the list of organizations, in which Bank holds more than 10% of shares (stock) of each organisation;
 - changes in the prospect of issue of Bank's securities;
 - information of Bank non-compliance with the conditions stipulated by the prospect of issue of corporate bonds, default facts committed by Bank;
3. Other information, considered as Bank's Insider information:
 - convening and holding the General Meeting of Shareholder and Board of Directors, including the agenda, date, date of making the list of persons entitled to attend the general meeting;

- decision on reorganization or elimination of the organisation that controls the Bank;
- appearance signs of Bank insolvency (bankruptcy), provided by the legislation of RK;
- introduce a claim to the Bank with the requirements size of 10 or more per cents of the accounting value of these individuals for the end of reporting period (quarter, year) preceding the filing of the claim, in the opinion of Bank, satisfying of which may affect the financial-economic position of the Bank;
- financial statement of the Bank, the disclosure of which is provided on the web site of KASE and depositary financial statements , before the disclosure on the web sites of these organisations.

**List of persons, who have access to Insider Information
of "Trust Bank" JSC on «__» _____ 20__ year.**

Name of Entity, its location (address), e-mail address, phone number. Number and date of the document, confirming state registration / re-registration of legal Entity.								
№	Name, Surname	Date of Birth	IIN(individual identification number)	Reason of including in Insider List	Occupation / Department	Date of reason occurrence	Date of excluding from the list	Notes

Chairperson of the Management Board _____

**Notification of legal entity about acquiring the status of the
Insider of “Trust bank” JSC.**

Name of legal entity _____ (hereinafter – Organization)

_____ (Name, Surname, Patronymic of Director)

“Trust Bank” JSC informs you that Organization is recognized as Insider from “ ” 20 . Insider of Bank in accordance with paragraph of article 56-1 of Law of the Republic of Kazakhstan “On Securities Market” (hereinafter – Law). In this connection, you need to comply with the legislation of the Republic of Kazakhstan with regard to the use/disclosure of insider information of the Bank, including the Law of the Republic of Kazakhstan “On Securities Market”, as well as the rules of internal control access to insider information of “Islamic Bank “Zaman Bank” and its use (placed on Bank’s including:

- do not use Insider information of the Bank during committing transactions with securities (derivative financial instruments), issued by Bank;
- do not transfer to the third parties or make available to the third parties Insider information of the Bank, except for cases stipulated by laws of the Republic of Kazakhstan;
- do not provide to the third parties recommendations on transactions with securities based on Insider information of the Bank;
- Maintain the list of your employees, who has access to Bank’s Insider information by virtue of their official position and work duties;
- inform your employees about requirements of the Republic of Kazakhstan legislation, including the Law of the Republic of Kazakhstan “On securities market”, in terms of banning of use of Insider information of the Bank and Rules of Internal control of access to Insider information and its use in “Zaman Bank” JSC;
- inform Bank about your employee, who has access to the Insider information of the Bank by virtue of their position and work duties, in the manner and timelines established by the Rules of internal access control to Insider information of “Zaman Bank” and its use, and Organisation ensure that transferred to Bank personal data of employees, who has by virtue of their official position and work duties access to Insider information of the Bank, collected and transmitted in accordance with the requirements of Chapter 5 of the Labor Code of the Republic of Kazakhstan and the Law of the Republic of Kazakhstan “On Personal Data and its Protection”.

In case of non-compliance with above restrictions, improper use and distribution of Insider information of the Bank, as well as violation of the requirements of the internal access control to Bank’s Insider Information and its use in “Zaman Bank”, Organisation is responsible under the legislation of the republic of Kazakhstan.

Chairperson of the Management Board _____

**Notification of Excluding from the List of
Insiders of "Trust Bank" JSC.**

Name of the legal entity _____ or Name, Surname,
Patronymic _____
IIN _____ (if available)

"Trust Bank" JSC informs you of excluding you from the List of Insiders of "Trust Bank"
JSC since " ____ " 20 ____ .

Chairperson of the Management Board _____

**Notification of Excluding from the List of
Insiders of "Trust Bank" JSC*.**

Name, Surname, Patronymic _____
IIN _____ (if available)

Compliance Service informs you of excluding you from the List of Insiders of "Trust Bank"
JSC since " ____ " 20 ____ .

Main Compliance-Controller _____

*for Bank workers

“ ___ ” _____ 20__ .

NOTIFICATION
of committed by Insider transaction with financial instruments

№	Questions	Answers
1	Name, Surname, Patronymic of Insider individual/ Name of Insider legal entity	
2	Type and details of the identity document of Insider individual/ Details of the document confirming the state registration (re-registration) of insider legal entity	
3	Place of residence of Insider individual/ Location of Insider legal entity	
4	Full name of entity, which includes Insider in Insider List	
5	Date of transaction (agreement) committed	
6	Type of transaction (agreement)	
7	Amount of transaction (agreement)	
8	Type, category, series of securities (for securities transactions)	
9	Full name of the issuer of securities (for securities transaction)	
10	Registration number of security (for operations with securities)	
11	Price of one security (for operations with securities, except repurchase agreement)	
12	Price of one security in repurchase agreement	
13	Amount of securities (for operations with securities)	
14	Type of agreement, which is a derivative financial instrument (for operations with financial derivatives)	
15	Number of agreement, which is a derivative financial instrument for transactions with financial derivatives.	
16	Price of one agreement, which is a derivative	

	instrument for transactions with financial derivatives	
17	Number of agreements, which are derivative financial instruments for transactions with financial derivatives	
18	Price of the agreement execution, which is derivative financial instrument for transaction with financial derivatives	
19	Type of currency (for operations with currency)	
20	Currency rate of the agreement	

Chairperson of the Management Board

**Notification of the Bank's Employee about nondisclosure
of Bank's Insider and Confidential information**

Name, Surname, Patronymic _____

IIN _____

Hereby, Compliance Service informs you of the need to review and compliance with legislation of the Republic of Kazakhstan regarding the use/ disclosure of Insider and confidential information of the Bank, including the Law of the Republic of Kazakhstan "On Securities Market", as well as internal access control to Insider information of "Trust Bank" and its use (hereinafter Rules), including the need to comply with these requirements of the Bank:

- do not use Insider information of the Bank in transactions with securities (derivative financial instruments) issued by Bank or organisation in relation to which Bank is an Insider;
- do not transfer to the third parties or make available to the third parties Insider and confidential information of Bank or organisation, in relation to which Bank is Insider, except for cases, stipulated by laws of the Republic of Kazakhstan;
- do not provide to the third parties recommendations on transactions with securities, based on Insider and confidential information of the Bank or organisation, in relation to which Bank is Insider.

You are responsible for non-compliance with requirements, provided by the legislation of the Republic of Kazakhstan and Rules.

In case of failure of compliance with the restrictions stipulated by the legislation of the Republic of Kazakhstan and Rules, unauthorized use and distribution of Insider and confidential information, you may proceed to civil-legal, administrative and criminal liability under the laws of the Republic of Kazakhstan, as well as you may be subject to disciplinary action penalties in accordance with the Labor legislation of the Republic of Kazakhstan.

Chairperson of the Management Board _____

This Notice of non-disclosure of Insider and confidential information of the Bank received by me in person. I agreed with above conditions and confirm with my signature.

Employee _____ (signature)

_____ (Name, Surname, Patronymic)